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PATENT

#1 264833  
IDS/Statement

IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE

Applicant(s):	)	I hereby certify that this paper
	)	is being deposited with the
Matthew, et al.	)	United States Postal Service
	)	with sufficient postage as first
Serial No.: 09/938,170	)	class mail in an envelope
	)	addressed to: Commissioner
Filed: August 23, 2001	)	for Patents, Washington, D.C.
	)	20231 on this date:
For: Automatic Tuning AM	)	
Transmitter	)	November 26, 2001
	)	
Attorney Docket No.: 29717/37694	)	<u>Manisha C. Wulf</u>
	)	Manisha C. Wulf
Group Art Unit: 2643	)	Registration No. 41,665
	)	Attorney for Applicant(s)
Examiner: Unknown	)	

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

The patents and/or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 CFR §§ 1.56, 1.97, and 1.98. Copies of the patents or publications are enclosed as necessary.

### TIME OF FILING

This information disclosure statement is being filed:

- ☒ 1. with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or, to the best of the undersigned's knowledge, before the mailing date of a first Office Action on the merits, whichever event occurs last. In accordance with 37 CFR §1.97(b), no certification or fee is required.
- ☐ 2. after the time period specified in paragraph 1 above, but, to the best of the undersigned's knowledge, before the mailing date of a final action under 37 CFR §1.113 or notice of allowance under 37 CFR §1.311. Therefore, in accordance with 37 CFR §1.97(c), submitted herewith is:
- (check either A or B below)
- A. ☐ a certification as specified in 37 CFR §1.97(e).
- B. ☐ the fee set forth in 37 CFR §1.17(p) for submission of an information disclosure statement under 37 CFR §1.97(c).
- ☐ 3. after the mailing date of either a final action under 37 CFR §1.113 or a notice of allowance under 37 CFR §1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant submits herewith:
- A. a certification as specified in 37 CFR §1.97(e);
- B. the petition fee set forth in 37 CFR §1.17(i); and
- C. the accompanying petition under 37 CFR §1.97(d).

### PRIOR AND RELATED APPLICATIONS

In accordance with 37 CFR §1.98(d), copies of the patents and publications listed on the enclosed PTO Form-1449 are not provided because the patents and publications were previously cited by, or submitted to, the Patent Office in a prior application that Applicant(s) rely upon for an earlier filing date under 35 U.S.C. §120. Documents listed on the attached Form PTO-1449 were cited by, or submitted to, the examiner of the following patent application(s):

Applicant(s): Matthew, et al.  
Serial No. 09/201,366  
Filing Date: November 30, 1998  
Title: Automatic Tuning AM Transmitter  
Status: Issued

**METHOD OF PAYMENT**

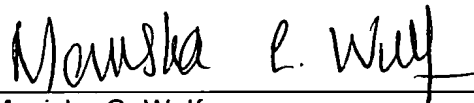
- ☒ No fee is required.
- ☐ Attached is a check in the amount of \$\_\_\_\_\_.

The Commissioner is authorized to charge any fee deficiency required by this paper, or credit any overpayment, to Deposit Account No. 13-2855. A copy of this paper is enclosed.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN  
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233 South Wacker Drive  
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(312) 474-6300

By:

  
\_\_\_\_\_  
Manisha C. Wulf  
Reg. No: 41,665

November 26, 2001

Form PTO-1449 (Modified)

U.S. Department of Commerce  
Patent and Trademark OfficeAtty. Docket No.  
29717/37694Serial No.  
09/938,170Applicant  
Matthew et al.Filing Date  
08/23/01Group  
2643

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

*Examiner Initials	Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
	3,732,496	05/08/73	Boyer			
	3,794,941	02/26/74	Templin			
	4,060,808	11/29/77	Foldes			
	4,112,395	09/78	Seward			
	4,117,493	09/26/78	Altmayer			
	4,165,487	08/21/79	Corderman			
	4,234,960	11/80	Spilsbury et al.			
	4,538,465	09/03/85	Bianchi et al.			
	4,620,194	10/86	Bel Moratalla			
	4,726,071	02/16/88	Jachowski			
	4,817,193	03/28/89	Matthew			
	5,225,847	7/93	Roberts et al.			
	5,253,511	10/19/93	Jaramillo et al.			
	5,446,920	08/29/95	Matsumoto et al.			
	6,295,443	09/25/01	Mathew et al.			

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 (Modified)

NOV 30 2001

U.S. Department of Commerce  
Patent and Trademark OfficeAtty. Docket No.  
29717/37694Serial No.  
09/938,170**INFORMATION DISCLOSURE STATEMENT***(Use several sheets if necessary)*Applicant  
Matthew et al.Filing Date  
08/23/01Group  
2643**FOREIGN PATENT DOCUMENTS**

*Examiner Initials		Document Number	Publication Date	Country	Class	Subclass	Translation	
							Yes	No

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, etc.)

		Dwayne L. Kincaid, "An Automatic Antenna Tuner: The AT-11," <i>QST</i> , Vol. 80, No. 1, P. 35 (Jan., 1996)

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